# **Protocol for COVID-19 vaccine safety analyses in pregnant women**

## Draft analytical plan, version 1.9

## 24/08/2022

# Version Control

|  |  |  |
| --- | --- | --- |
| Version number | Date | Change from previous version |
| 0.1 | 31/05/2021 | Provisional version |
| 0.2 | 10/06/2021 | Updated following review by MOL |
| 0.3 | 08/07/2021 | Updated following comments from MOL and RW, and meeting with CR and JP |
| 0.4 | 23/07/2021 | Updated following further comments from MOL, RW, JP |
| 0.5 | 06/08/2021 | Updated following further comments from MOL, RW, JP |
| 0.6 | 13/08/2021 | Substantial revision following comments from MOL & RW |
| 0.7 | 01/09/2021 | Further additions following meetings with stats and maternity colleagues |
| 0.8 | 13/09/2021 | Further round of changes following meetings with stats and maternity colleagues |
| 0.9 | 15/11/2021 | Further revisions following discussion between JP, RW & CC |
| 1.0 | 18/11/2021 | Further revisions following discussion between SS, RW & CC |
| 1.1 | 15/12/2021 | Further revisions following discussions with LSHTM/PHE/MHRA colleagues |
| 1.2 | 14/01/2022 | Addition of data access section & update to introduction with new JCVI guidance |

All further amendments made after the analysis was started are documented in section 8.0.

# 1.0: Introduction

The COVID-19 immunisation programme was initiated in Scotland on 8th December 2020. Following advice from the Joint Committee on Vaccination and Immunisation (JCVI), vaccinations have been offered to individuals in sequential priority groups based on an individual’s risk of infection or severe outcomes of infection. During the first phase of the immunisation programme, the vaccination of risk groups including older adults, health and social care workers and staff in care homes for older adults, and those that were clinically extremely vulnerable, was prioritised. During this initial phase, pregnant women were not called specifically for vaccination because they were pregnant but, as clarified by the JCVI on the 30th December 2020, women in the highest risk groups that were being called for vaccination at that time, and who happened to be pregnant, could be offered vaccination during pregnancy. In practice this affected pregnant women who were care home workers, frontline health or social care workers, and women who were clinically extremely vulnerable/on the shielding list.

From the 22nd February 2021, a national pathway for vaccination for pregnant women was clarified, with information leaflets provided for women, and on the 9th March pregnant women with current gestational diabetes were added to the list of individuals clinically vulnerable to severe COVID-19 disease, making this group of women eligible for vaccination. On the 16th April 2021, the JCVI issued further guidance, confirming that women called for vaccination due to being in any priority group (including all adults aged 18-49 years) could be offered vaccination during pregnancy. The JCVI recommendation issued on [16 April 2021](https://www.gov.uk/government/news/jcvi-issues-new-advice-on-covid-19-vaccination-for-pregnant-women) meant women who were pregnant should be offered vaccination at the same time as non-pregnant women, based on their age and clinical risk group. At the time of the JCVI recommendation, most of the safety data on vaccination in pregnancy related to the use of the Pfizer–BioNTech (Comirnaty) and Moderna (Spikevax) vaccines; consequently, the JCVI recommended that pregnant women in the UK should be offered the Pfizer/BioNTech or Moderna vaccine if stocks of those vaccines were available. However, as there is no evidence to suggest that other vaccines are unsafe for pregnant women, all the approved vaccines can be given in pregnancy. For example, if a woman had a first dose of vaccine prior to pregnancy, she should complete her course with the same vaccine if possible, regardless of vaccine type. On the 16th December 2021, the JCVI updated their guidance to recommend that pregnant women are considered a priority group within the COVID-19 vaccination programme.

As COVID-19 vaccines are now being offered routinely to pregnant women in Scotland, it is necessary to monitor the safety of these vaccines in this population. This protocol details the technical specification for the assessment of the safety of COVID-19 vaccines in pregnant women in Scotland. This will be done through monitoring a series of pre-specified pregnancy, maternal and neonatal outcomes. Specifically, we will monitor whether the risk of these outcomes is different among vaccinated pregnant women compared to unvaccinated pregnant women.

# 2.0: Objectives

The main aim of this work is to assess the safety of receiving COVID-19 vaccines during pregnancy. This will be achieved through the following objectives:

1. To estimate the risk of adverse pregnancy, maternal and neonatal outcomes in vaccinated and unvaccinated pregnant women.
2. To investigate whether the risk of adverse pregnancy, maternal and neonatal outcomes is different in vaccinated pregnant women, compared with unvaccinated pregnant women.

# 3.0: Study Design

## 3.1: Overview

This matched cohort study will compare adverse outcomes in women vaccinated in pregnancy to unvaccinated pregnant women. The primary analyses will draw the unvaccinated pregnant women from the pre-pandemic period (unless inappropriate for a specific outcome; further description in section 3.6), with supplementary analyses conducted with controls from the pandemic vaccination period. The matching process is detailed in section 3.6.

## 3.2: Study population

Pregnant women will be identified for inclusion in the matched cohort study through the COPS study database[[1]](#footnote-1). The COPS study database includes all women aged 11 to 55 at the time of conception who were known to be pregnant in Scotland from 01 January 2015 to the present date. In the COPS database, pregnancies are followed-up from conception to the end of the puerperium (six weeks after end of pregnancy) for women and the end of the neonatal period (28 days following birth) for live born babies.

## 3.3: Study period

The matched cohort study will cover the time period 01 January 2015 to the date of data extraction for the analyses. There are three study periods referred to in this protocol, as follows:

* Pre-pandemic period (01 January 2015 to 29 February 2020)
* Pandemic pre-vaccination period (from 01 March 2020 to 07 December 2020)
* Pandemic vaccination period (from 08 December 2020 to date of data extraction)

Vaccinated individuals will have received any COVID-19 vaccine in the six weeks preceding conception or at any point between conception and the end of pregnancy between 08 December 2020 to the date of data extraction, and unvaccinated individuals will be identified from the pre-pandemic period (primary analysis) and the pandemic vaccination period (supplementary analysis).

## 3.4: Data Sources

This study will involve linkage and analysis of routinely collected electronic health records on maternal, pregnancy and neonatal outcomes, vaccination data and other covariates of interest. Pregnant women will be identified through the COPS[[2]](#footnote-2) study database if they appear on one or more of the data sources listed for the identification of pregnant women in Table 1.

Table 1: Overview of data sources used for analysis

|  |  |
| --- | --- |
| **Data** | **Data source** |
| **Datasets required to identify pregnant women in the general population and associated pregnancy start and end dates:** | * New national data return developed as part of the response to the COVID-19 pandemic providing information on women booking for antenatal care with NHS maternity services: for identification of women with ongoing pregnancies in near real-time (all other records that identify end of pregnancy events) * GP consultation data: for identification of women with early miscarriage or ectopic pregnancy not managed in hospitals * Scottish Morbidity Record (SMR) 01: for identification of women with early miscarriage or ectopic pregnancy managed in hospitals * Termination of pregnancy statutory notifications (AAS records) * SMR 02: for identification of later miscarriage, stillbirth, and live births managed in hospital (and some home births) * National Records of Scotland (NRS) statutory stillbirth registrations * National Records of Scotland (NRS) statutory live birth registrations * New national data return developed as part of the response to the COVID-19 pandemic providing information on live births notified by maternity services to NHS Board child health administrative departments: for near real-time access to data that allows intergenerational linkage of records relating to mothers and their babies.   Note that this is already established as part of the COPS study and methodology is set out in the [*Protocol for estimating the number of COVID-19 vaccinations delivered to pregnant women in Scotland and for describing the uptake and coverage of vaccination in the pregnant population.*](https://github.com/Public-Health-Scotland/COPS-public/tree/main/Study%20Protocol) |
| **Datasets required to identify characteristics of pregnant population** | * Covariates of interest include: Maternal age, deprivation score, urban/ rurality score, ethnicity, pre-pregnancy clinical vulnerability exc diabetes, diabetes, BMI, smoking status, and linked SARS-CoV-2 viral test data to capture confirmed cases of COVID-19 infection.   Note that all are available through the COPS study database. |
| **Datasets required to identify vaccinated individuals** | * Data from the National Clinical Data Store (NCDS). Information recorded on the National Vaccine Management Tool (VMT) and GPIT system (Primary care practice medical record database) flows to the NCDS, from where it is cleaned and then linked to the COPS data. Relevant data of interest: vaccination date, type, dose. |
| **Datasets required to identify outcomes of interest** | * Data from a number of sources that hold pregnancy, maternal and neonatal outcome data will be brought into the COPS study dataset. Sources include: SMR01, SMR02, national linked anomaly database, SICSAG critical care admissions, NRS deaths. |

## 3.5: Study variables

### 3.5.1: Study outcomes

Maternal, pregnancy and neonatal outcomes of interest are listed in Table 2. In our main analyses, we will assess the risk of each outcome in vaccinated women and their unvaccinated controls (i.e. classifying each woman as having the outcome or not), and will not attempt to capture any differences between the groups in the time to these events. As noted in Table 2, there are different periods of risk for each outcome (referred to as “outcome-specific follow-up period” throughout this protocol). For example, women will be considered at risk of any miscarriage from conception up to 19+6 weeks gestation, and at risk for stillbirth from 20+0 weeks gestation to the end of pregnancy. Women without an observed outcome who had not completed follow-up to the end of the follow-up period for a specific outcome in either the pre-pandemic (i.e. outcome-specific follow-up period not completed before 01 March 2020) or in the pandemic time period (i.e. outcome-specific follow-up period not completed before date of data extraction) will not be eligible for inclusion in the analysis for that outcome.

Outcomes have been aligned as far as possible with the relevant Brighton/GAIA outcome definitions, and also agreed with those in the MHRA / four nations working group on COVID-19 vaccination in pregnancy and comply with those recommended by the WHO[[3]](#footnote-3). See Appendix 2 for full rational and sources of information behind included outcomes, definitions, timings etc. as presented in Table 2. For analyses of outcomes which rely on reference data (i.e. microcephaly and small for gestational age), we will restrict the study population to only babies which can be linked to the reference standard.

Both primary and secondary outcomes are of interest, with the former being a composite measure including the associated secondary outcomes, and the latter being a narrower measure or subset of the associated primary outcome, e.g. primary outcome = small for gestational age, secondary outcome = very small for gestational age.

Venous thromboembolism in pregnancy is a standard maternal outcome that may be influenced by infection or vaccination and is not the same as vaccine associated thrombosis with thrombocytopenia (VATT), the condition associated with the AstraZeneca vaccine[[4]](#footnote-4). Similarly, the pregnancy related bleeding outcome includes disseminated intravascular coagulation (DIC) which can occur in association with massive obstetric haemorrhage: again, this is distinct from VATT. Full lists of ICD10 codes used to identify the maternal outcomes venous thromboembolism, pregnancy-related bleeding, and hypertensive disorders of pregnancy are shown in Appendix 1.

‘Clean windows’ will be applied to outcomes, where appropriate, so that only new or incident cases occurring post vaccination will be counted. Specifically, a clean window of the current pregnancy will be applied for the venous thromboembolism, pregnancy-related bleeding, and hypertensive disorders of pregnancy outcomes (i.e. women will only be included if there is no prior record of these outcomes in the current pregnancy). See Table 2. For both congenital anomalies and pregnancy-related bleeding, further descriptive analyses will be conducted looking at more specific diagnoses within these broader outcome groups if we find evidence for an association between vaccination and these outcomes. For example, for pregnancy-related bleeding, we can further disaggregate into early pregnancy bleeding, obstetric haemorrhage, and DIC.

Longer term child outcomes, including immune function and infection, and neurodevelopment, will be addressed in future work as required.

Other adverse outcomes that are not specific to pregnant women, will be investigated separately for the whole population as part of wider adverse events monitoring in Scotland. If these analyses suggest any signals in the pregnant population, those outcomes would be investigated in the pregnant population using the COPS data infrastructure, as required.

Table 2: Maternal, pregnancy and neonatal outcome measures for monitoring of safety of COVID-19 vaccination in pregnancy

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Outcome measure** | **Definition** | **Primary or secondary outcome?** | **Clean window requirement to identify incident cases** | **Timing of vaccination to be included** | **Post vaccination risk period to be examined** | **Estimated background risk of outcome (%)** |
| **Maternal outcomes** | | | | | |  |
| Hypertensive disorders of pregnancy | See ICD10 code list. Note that hypertensive disorders can only be diagnosed at >20 weeks. | Primary | No prior record in this pregnancy | Any | Up to E plus PP | 10.0 |
| Venous thromboembolism | See ICD10 code list | Primary | No prior record in this pregnancy | Any | Up to E plus PP | 0.15 |
| Pregnancy-related bleeding | See ICD10 code list | Primary | No prior record in this pregnancy | Any | Up to E plus PP | 30.0 (for postpartum haemorrhage) |
| ICU admission or death (any cause) | ICU admission as identified on SICSAG record Death from any cause | Primary | None (but exploration to be undertaken of reasons for any pre-vaccination ICU admission where these are documented) | Any | Up to E plus PP | Unknown |
| **Pregnancy outcomes** | | | | | |  |
| Miscarriage | Miscarriage at <20 completed weeks gestation | Primary | None | Up to 19w+6d gestation | Up to 19+6 gestation | 10.0 – 20.0 |
| Ectopic pregnancy | Ectopic pregnancy ending at any gestation | Primary | None | Up to 2+6 gestation | Up to 19+6 gestation | 1.0 |
| Stillbirth | Spontaneous fetal loss at ≥20 completed weeks gestation Any cause of fetal death. Losses related to termination of pregnancy excluded | Primary | None | Any | 20+0 to E | 0.4 |
| **Baby outcomes (live births only unless otherwise stated)** | | | | | |  |
| Any congenital anomaly (relevant pregnancy outcome only) | Any major congenital anomaly as defined by EUROCAT (including live born babies diagnosed at <28 days of age and affected pregnancies resulting in spontaneous loss at ≥20 weeks or termination of pregnancy at any gestation) | Primary | None | Up to 19+6 gestation | At E plus NN | 2.7 |
| * Any non-genetic congenital anomaly (relevant pregnancy outcome only) | Any major congenital anomaly as defined by EUROCAT, excluding anomalies with known underlying genetic basis as per standard EUROCAT rules (including live born babies diagnosed at <28 days of age and affected pregnancies resulting in spontaneous loss at ≥20 weeks or termination of pregnancy at any gestation) | Secondary | None | Up to 19+6 gestation | At E plus NN | 2.3 |
| Microcephaly | Congenital microcephaly (i.e. present at birth) OFC on delivery record <2SD below mean for sex and gestation at delivery by WHO-UK90 growth reference Babies with anencephaly excluded | Primary | None | Any | At E | 2.0 |
| * Severe microcephaly | Congenital microcephaly (i.e. present at birth) OFC on delivery record <3SD below mean for sex and gestation at delivery by WHO-UK90 growth reference Babies with anencephaly excluded | Secondary | None | Any | At E | 0.4 |
| Preterm birth | Delivery at <37 completed weeks gestation | Primary | None | Up to 36+6 gestation | Up to 36+6 gestation | 7.0 |
| * Very preterm birth | Delivery at <32 completed weeks gestation | Secondary | None | Up to 31+6 gestation | Up to 31+6 gestation | 1.0 |
| Spontaneous preterm birth | Delivery at <37 completed weeks gestation following P-PROM or spontaneous onset of labour | Primary | None | Up to 36+6 gestation | Up to 36+6 gestation | 4.0 |
| * Spontaneous very preterm birth | Delivery at <32 completed weeks gestation following P-PROM or spontaneous onset of labour | Secondary | None | Up to 31+6 gestation | Up to 31+6 gestation | 0.6 |
| Small for gestational age | Birthweight <10th centile by WHO-UK90 growth reference | Primary | None | Any | At E | 10.0 |
| * Very small for gestational age | Birthweight <3rd centile by WHO-UK90 growth reference | Secondary | None | Any | At E | 3.0 |
| Low Apgar score | 5 min Apgar <7 | Primary | None | Any | At E in term births (≥37 weeks gestation) | 1.5 |
| * Very low APGAR score | 5 min Apgar <4 | Secondary | None | Any | At E in term births (≥37 weeks gestation) | 0.5 |
| Neonatal death | Death from any cause | Primary | None | Any | E plus NN | 0.2 |
| Extended perinatal mortality (total births) | Stillbirth or neonatal death from any cause | Primary | None | Any | Up to E plus NN | 0.6 |

Notes: If timing of vaccination during pregnancy is specified as 'any', this means that women vaccinated at any point from six weeks preconception to date of end of pregnancy (E) will potentially be included in the analysis dependent on the woman being followed-up to the end of the outcome-specific follow-up period. For longer term risk periods: E is date of end of pregnancy; PP is mother's postpartum period, i.e. 6 weeks following delivery (E to E+41); NN is baby's neonatal period, i.e. 4 weeks following live birth (E to E+27). The pregnancy specific maternal outcomes listed above require follow-up to the end of the postpartum period (E+41) for mothers and the end of the postnatal period (E+27) for babies.

### 3.5.2: Exposure

The primary exposure to be investigated in the analyses is ***any*** receipt of COVID-19 vaccine from six weeks before conception to the end of an outcome-specific exposure period within pregnancy (Yes/No). The exposure periods for vaccination varies for each outcome (referred to as “outcome-specific exposure period” throughout this protocol), as outlined in Table 2. For ectopic pregnancy, for example, the exposed group will include women who were vaccinated between six weeks before conception up to 2+6 weeks gestation, while for stillbirth the vaccine exposure period is extended up to the end of the pregnancy.

Subgroup analyses will be conducted where sample size permits, further stratifying women who received their COVID-19 vaccine within the outcome-specific exposure period by: (1) the number of doses received; and (2) by the type of vaccine(s) received (or class of vaccine if numbers are too small to look at type of vaccine). For the latter subgroup analysis, we will exclude women who received multiple different classes or types of vaccine within the outcome-specific exposure period. These results will need to be interpreted with caution given that some types of vaccination will be linked to specific sub-groups of women. For example, early in the vaccination programme women who were frontline health or care workers were more likely to receive the Pfizer/BioNTech vaccine, whereas women who were clinically (extremely) vulnerable were more likely to receive the AstraZeneca vaccine. There is therefore potential for residual confounding in comparative analyses examining outcomes following receipt of specific vaccine types.

Table 3 outlines the key information on vaccination exposure, integrated into the COPS study database from the National Services Scotland (NSS) National Clinical Data Store (NCDS).

Table 3: Exposure variables

|  |  |  |
| --- | --- | --- |
| **Variable** | **Definition** | **Notes** |
| Dose 1 received | No  Yes | Exposure variable for inclusion in model  Additional exposure variables for inclusion in database, descriptive analyses and any further investigation, where appropriate. |
| Vaccination date dose 1 | dd/mm/yyyy |
| Vaccine batch number 1 | Unique alphanumerical code assigned to each vaccine batch |
| Vaccine type 1 | Pfizer/ BNT162b2  AstraZeneca/ ChAdox1nCov-19  Moderna  Janssen |
| Vaccination class 1 | mRNA (Pfizer and Moderna)  Viral Vector (AstraZeneca and Janssen) |
| Dose 2 received | No  Yes |
| Vaccination date dose 2 | dd/mm/yyyy |
| Vaccine batch number 2 | Unique alphanumerical code assigned to each vaccine batch |
| Vaccine type 2 | Pfizer/ BNT162b2  AstraZeneca/ ChAdox1nCov-19  Moderna  Janssen |
| Vaccination class 2 | mRNA (Pfizer and Moderna)  Viral Vector (AstraZeneca and Janssen) |
| Dose 3 received\* | No  Yes |
| Vaccination date dose 3\* | dd/mm/yyyy |
| Vaccine batch number 3\* | Unique alphanumerical code assigned to each vaccine batch |
| Vaccine type 3\* | Pfizer/ BNT162b2  AstraZeneca/ ChAdox1nCov-19  Moderna  Janssen |
| Vaccination class 3\* | mRNA (Pfizer and Moderna)  Viral Vector (AstraZeneca and Janssen) |

\*Currently disaggregated by whether this was a third dose or a booster dose is not available in the COPS study database but as these data become available we will further stratify by third dose and booster dose. It is possible that a small number of women may have had more than 3 doses of vaccination, given ongoing roll out of additional booster doses for specified groups. We will combine these with 3rd doses if required.

### 3.5.3: Covariates

Covariates of importance are shown in Table 4. Note that covariate groupings will be collapsed into fewer groups where clinically appropriate to do so. Prior to statistical modelling, each covariate will be examined for collinearity.

Table 4: Covariates of interest

|  |  |  |
| --- | --- | --- |
| **Covariate** | **Category** | **Notes** |
| Deprivation Score | SIMD 1 - most deprived |  |
| SIMD 2 |
| SIMD 3 |
| SIMD 4 |
| SIMD 5 - least deprived |
| Unknown |
| Maternal urban-rural status | Large Urban Areas | Regrouping as binary variable to be explored. |
| Other Urban Areas |
| Accessible Small Towns |
| Remote Small Towns |
| Very Remote Small Towns |
| Accessible Rural Areas |
| Remote Rural Areas |
| Very Remote Rural Areas |
| Unknown |
| Maternal ethnicity | White Scottish | Regrouping into fewer categories to be explored. |
| White Other British |
| White Other |
| South Asian |
| Chinese |
| Black/Caribbean/African |
| Mixed or other ethnic group |
| Unknown |
| Maternal pre-pregnancy clinical vulnerability (excluding diabetes) | Clinically extremely vulnerable  (on shielding list) |  |
| Clinically vulnerable (Q-COVID comorbidity [excluding diabetes] or hypertension) |
| Not clinically vulnerable |
| Diabetes | Yes – pre-existing diabetes | If we only have a small number of women that are identified as having diabetes but it is unknown as to whether this is gestational or pre-existing, then we will combine gestational diabetes & unknown diabetes groups. |
| Yes – gestational diabetes |
| Yes – diabetes onset unknown |
| No diabetes |
| Unknown |
| BMI | Underweight (<18.5)  Healthy weight (18.5-<25)  Overweight (25-<30)  Obese (30-<40) or severely obese (≥40)  Unknown | Obese and severely obese will be combined.  Data will be taken from SMR02 delivery records where possible (which provide information on height and weight at antenatal booking); if this is not available then data will be extracted from recent GP records. |
| Smoking | Yes - current | Data will be taken from SMR02 delivery records where possible (which provide information on smoking status at antenatal booking); if this is not available then data will be extracted from recent GP records. |
| Yes - former |
| No - never |
| Don’t know |
| Singleton or multiple | Singleton | This will not be included in all analyses (e.g. if the analysis is restricted to singleton births) but will be included where appropriate. |
|  | Multiple |

Note: categories will be collapsed as required.

We have considered, but are currently not planning to include, several other covariates. These include parity and pregnancy-related complications other than gestational diabetes. Information on parity is only available on delivery records, negating its inclusion for any of the early pregnancy outcomes, and there are concerns about the quality of these data. Theoretically, pregnancy-related complications (e.g. pregnancy-induced hypertension and pregnancy-related bleeding) could be on the causal pathway between vaccination status and other adverse outcomes so will not be included as potential confounders. However, additional analyses will be conducted as appropriate if we see signals of associations between vaccination and outcomes that might be due to differential vaccination uptake in women with pregnancy-related complications. Gestational diabetes was considered as an exception to this and included as a covariate. This is because pregnant women with gestational diabetes were added to the list of individuals clinically vulnerable and therefore eligible for vaccination in priority risk group 6 early in the vaccination programme (March 2021), possibly leading to high uptake in this group, and the known association between diabetes and many of the outcomes under study.

## 3.6: Cohort study matching

For the matched cohort study, the primary comparison for each outcome will be between women vaccinated in the six weeks preceding conception or up to the end of the outcome-specific exposure period within pregnancy and unvaccinated pregnant women from the pre-pandemic period. Only women who had been followed-up to the end of the outcome-specific follow-up period on the 29 February 2020 are eligible to be controls for that outcome analysis. Women who have COVID-19 infection from six weeks preconception up to the end of the outcome-specific follow-up period will not be eligible for inclusion in the vaccinated group for this primary analysis. Each individual in the pregnant vaccinated cohort will be matched to three individual unvaccinated pregnant controls, if possible[[5]](#footnote-5). Different pregnancies from a single woman can be matched to different cases, and if a case had a pregnancy in the pre-pandemic period, that pregnancy is a valid control, as long as the women is not matched to herself. Here the assumption is that pregnancies are independent. Where appropriate, we will conduct a sensitivity analysis, removing women who are vaccinated in the six weeks preconception – and her controls – from the analysis. For congenital anomalies, we will undertake a further sensitivity analysis restricting the exposure period to between six weeks preconception up to 9+6 weeks gestation if we see any signal of association in the primary analysis.

With the pre-pandemic period being used as the baseline, there is an assumption that the pandemic has not substantially affected the outcomes of interest through, for example, changes in engagement and provision of maternity services. To investigate this, a supplementary analysis will be conducted with pregnant unvaccinated controls from the pandemic vaccination period (from 08 December 2020 to date of data extraction). In this supplementary analysis, we will exclude women (from both the vaccinated and unvaccinated group) who had any record of COVID-19 infection from six weeks preconception to the end of the outcome-specific exposure period. For this supplementary analysis, women who were vaccinated >6 weeks pre-conception will be included in the control group (as not vaccinated in pregnancy), however sensitivity analyses (if sample size permits) will be conducted to investigate any differences between pairs where the control is completely unvaccinated versus pairs where the control received vaccination >6 weeks pre-conception (e.g. vaccinated pre-‘pregnancy’). Additional supplementary analyses removing women who are vaccinated in the six weeks preconception will be considered for the analyses using women from the pandemic period as controls, if we observe an impact of removing these women in the primary analysis using pre-pandemic controls. For outcomes where there are known changes in the ascertainment in the outcome over time, we will not use historical controls and only use contemporary controls.

Maternal and gestational age are known to be important risk factors for adverse events in pregnancy (e.g. miscarriage strongly influenced by maternal age). Consequently, the cohorts will be matched by maternal age (±1 years but relaxed (i.e. possibly ± >1year) at the tails of maternal age distribution as fewer individuals available for matching), and gestational age (exact match, i.e. same week however allow for relaxation of this at the tails of the gestation distribution). In addition, vaccinated pregnant women will also be matched by season of conception (quarter) to historical controls from the pre-pandemic period due to the seasonality of some outcomes. We will prioritise matching by maternal age and gestational age for the contemporary controls (from the pandemic vaccination period) and, if there are sufficient numbers of unvaccinated women in the pandemic period, also by date of conception or season of conception.

For comparisons between vaccinated and unvaccinated matched individuals, the index date (reference point for matching maternal and gestational age, and season of gestation for analyses involving controls from historical periods only) will be the date of vaccination.

The matching strategy for each of the three analyses is shown in Table 5.

Table 5: Matching strategy for each analysis of the matched cohort study

|  |  |  |  |
| --- | --- | --- | --- |
| **Analysis** | **Time period for control group\*** | **Matched three controls to each case by:** | **Notes** |
| Primary analysis | Pre-pandemic period (01 January 2015 to 29 February 2020) | * Maternal age * Gestational age * Season of conception (quarter) | * Aim to match to three unvaccinated controls * Maternal age matched by ±1 year but relaxed at tails of maternal age distribution * Gestational age matched by same week but relaxed at tails of the gestation distribution |
| Supplementary analysis | Pandemic vaccination period (from 08 December 2020 to date of data extraction), excluding cases and controls with confirmed COVID-19 between six weeks preconception to end of outcome-specific exposure period | * Maternal age * Gestational age |

\*Note that only women who have been followed-up to the end of the outcome-specific follow-up period at the end of each study period (i.e. 29 February 2020 for pre-pandemic controls and data of data extraction for pandemic controls) are eligible to be controls for a given outcome analysis.

## 3.7: Power calculation for matched cohort

It is estimated that by the end of 2021, full outcome and follow-up data will be available for approximately 5,000 vaccinated pregnant women, and by end of 2022, data available for 10,000 vaccinated pregnant women[[6]](#footnote-6).

Outcomes can be grouped as frequent (risk approximately 10%), moderate (approximately 3%), and rare (approximately 0.5%). Risk estimates for each adverse outcome are provided in Table 2. Based on these estimates and assumptions, with the relative risk of an event occurring post-vaccination set at 0.5, 1.2 and 2.0, and with statistical significance set at 0.05 and 0.01; power calculations for the various scenarios were calculated and are shown in Table 6. The power to detect a relative risk of 0.5, based on 5000 vaccinated pregnant woman against 15000 controls (ratio 1:3), when estimated adverse event occurrence is 0.5%, is 94%. This means that the study has a 94% chance of having a p-value of less than 5% (a statistically significant result and strong evidence against the null hypothesis) if there is really a difference in risk between vaccinated and unvaccinated women.

If the statistical power is low, the study sample size may be too small to detect any differences (i.e. under-powered) and therefore null results will need to be interpreted with caution. By convention, 80% is an acceptable level of power. It is acknowledged that the study may be under-powered to identify rarer outcomes.

Table 6: Power calculations scenarios

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Cases** | **Controls** | **Estimated risk** | **Relative risk** | **Power %** |
| 5000 | 15000 | 0.50% | 0.5 | 94 |
| 1.2 | 10 |
| 2.0 | 66 |
| 3.00% | 0.5 | 100 |
| 1.2 | 45 |
| 2.0 | 100 |
| 10.00% | 0.5 | 100 |
| 1.2 | 94 |
| 2.0 | 100 |
| 10000 | 30000 | 0.50% | 0.5 | 100 |
| 1.2 | 17 |
| 2.0 | 94 |
| 3.00% | 0.5 | 100 |
| 1.2 | 75 |
| 2.0 | 100 |
| 10.00% | 0.5 | 100 |
| 1.2 | 100 |
| 2.0 | 100 |

# 4.0: Data Analyses

## 4.1: Descriptive analyses

Characteristics (e.g. covariates) of the vaccinated and unvaccinated cohorts (from the pre-pandemic and pandemic vaccination time periods), and of the number and risk of all outcome events in the vaccinated and unvaccinated groups will be described to assess the comparability of the groups and to provide better understanding of the data. Summary statistics on the dose, type and class of vaccination in the vaccinated population will be described. Median, range and inter-quartile range of the gestational week of vaccination will be calculated.

Summary statistics and plots of time from vaccination (or matching) to date of outcome will be conducted to provide additional contextual information and to explore plausibility of gaps or clustering of the outcomes in time. As this will not be a time-to event analysis, it will be important to explore descriptively whether there are differences in the temporal trends of the outcomes by vaccination status.

Summary tables of the number and risk of each outcome in the vaccinated and unvaccinated pregnant cohorts, overall, by covariates, and by vaccine type, class, dose number, will be produced.

## 4.2: Statistical analyses

Maternal, pregnancy and neonatal outcomes in the vaccinated and unvaccinated pregnant cohorts will be compared using conditional logistic regression, where possible. This model type is used to account for matching. Odds ratios (ORs) with 95% confidence intervals will be produced for each comparison and a correction for multiple testing applied (e.g. Benjamini and Hochberg).

The exception will be for pregnancy outcomes where there is a competing risk (i.e. each woman can only have one of these outcomes), such as miscarriage, ectopic pregnancy, termination of pregnancy, stillbirth, and live birth. These will be examined using conditional multinomial logistic regression. The reference for each comparison when examining pregnancy outcomes will be live births (or ongoing pregnancies at a relevant gestation when studying early pregnancy outcomes only). Age, gestational week and season/quarter (when included in the matching strategy) will also be included in the model to account for matching. Termination of pregnancy is not an outcome of interest but it will need to be included in models as a competing risk.

First, a model with no covariates will be fitted to calculate the unadjusted OR for the association between vaccination status and each outcomes of interest. Subsequently, we will calculate an adjusted OR for the association between vaccination status and each outcomes of interest, by adding all covariates to the model (except singleton or multiple births which will only be included where appropriate, as described in more detail at the end of this section) (Table 4). The exception to this will be for early pregnancy outcomes, where we will not include covariates that rely on data from SMR02 delivery records (i.e. BMI, smoking status and diabetes) in the models as these will have high levels of missing data for these outcomes of interest. P-values and confidence intervals will be reported for all models. For some rarer outcomes, we will adjust our modelling approach as required (e.g. re-classification of groupings or removing insignificant covariates). Covariate pairs will be assessed for collinearity before adding to the model. For any covariate pairs that are highly correlated, only the covariate which has the biggest impact on the association between the exposure and outcome will be included in the model.

As previously described (section 3.5.2), analyses will be conducted first looking at the association between having any vaccination and outcomes, and then in sub-group analyses stratified by individual vaccine types (or vaccine group if numbers are too small to allow analyses by vaccine type) and number of vaccines (for outcomes with longer exposure periods);

* Vaccine type: Pfizer, AstraZeneca, Moderna, Janssen
* Vaccine group: Pfizer and Moderna (mRNA); AstraZeneca and Janssen ((adeno)virus vector)
* Total number of vaccine doses received in the exposure period (i.e. one dose only, two doses, three doses)

Subgroup analyses will be conducted for the primary analysis (historical controls) and, if there is evidence for an association using historical controls, then also conducted for the supplementary analysis (contemporary controls).

Our main analyses will include all pregnancies/births, regardless of whether there is evidence that it was singleton or multiple for the analyses of early pregnancy outcomes (miscarriage and ectopic pregnancy), as well as congenital anomalies. We will adjust for whether the birth was singleton or multiple in the congenital anomaly analysis but, due to not knowing the singleton/multiple status for many pregnancies with an early loss, this will not be included in the early pregnancy analysis. For the other baby outcomes and maternal outcomes, descriptive data will be produced for both multiple and singleton births/pregnancies, but the models will be restricted to singleton births/pregnancies only.

## 4.3: Additional analyses

There are two further analyses that may subsequently be conducted to assess the association between vaccination and adverse outcomes, specifically:

1. We will consider using all data from the pre-pandemic period to predict the expected number of outcomes among the vaccinated pregnant women, and compare this predicted number to the observed number within the vaccinated group of women.
2. For rarer outcomes (e.g. for congenital anomalies, microcephaly), we will consider a nested case control study if signals are identified in this present matched cohort analysis but wide confidence intervals due to the small number of events negate any meaningful conclusions. This design maximises power to assess any association between vaccination and these rare outcomes.

Additionally, we will extend our analysis to look at the impact of COVID-19 infection (rather than vaccination) in pregnancy on pregnancy, maternal and neonatal outcomes. Amendments required to the data preparation and analysis to change our exposure from vaccination status to infection status are provided in Appendix 3.

# 5.0 Potential Limitations

There are a number of limitations that need to be considered when conducting the analysis and interpreting the results. Some of the key limitations are as follows:

1. Lag in data becoming available for women recently captured within the COPS study database:

Due to the way the COPS cohort is derived, there is a lag of up to three months before conceptions are identified and hence the corresponding pregnancies included in the study dataset. This is because either antenatal booking, or an early spontaneous pregnancy loss or termination of pregnancy prior to booking, must occur, and the relevant record be returned to PHS, prior to the pregnancy being identified and the conception retrospectively imputed. These events will usually occur within three months of conception. The COPS cohort is refreshed monthly: more frequent refreshes are not feasible as key source datasets (in particular SMR02) are only updated monthly. This means that, using any month’s COPS cohort plus up-to-date vaccination data, will likely fail to identify some recent vaccination events as occurring during early pregnancy. These will be picked up in subsequent months as the COPS cohort is refreshed. This means that initial data outputs must be understood as provisional.

1. Capture of early pregnancy loss:

Women who have early pregnancy loss and do not seek medical advice will not be captured in the COPS dataset.

1. Insufficient sample size for rare outcomes:

For rarer outcomes, there may be insufficient power to assess the association between vaccination status and the outcome using a matched cohort study design. We will still provide descriptive data for these outcomes and, as mentioned in Section 4.5, we will consider different analytical approaches for rare outcomes.

1. Missing data:

For some covariates, there will be missing data, but this is expected to be minimal based on ongoing assessments of data quality.

1. Recording errors:

There may be errors in recording the pregnancy, maternity or neonatal outcomes and the way in which outcomes are recorded may have changed over time.

1. Unmeasured confounding:

There is the potential for unmeasured confounding given the inherent differences between vaccinated and unvaccinated women which might not be accounted for. Specific examples include occupation, specific comorbidities, and wider healthcare seeking behaviours including engagement with antenatal care.

# 6.0 Publication of Results

Core information on vaccine uptake and coverage among pregnant women is published in the PHS COVID-19 weekly report on a monthly basis.

All interim and final results will be shared with the PHS Vaccine Safety Advisory Group and potentially other key stakeholders including:

* The Clinical Governance Group for the Flu Vaccine COVID Vaccine (FVCV) programme. The group is responsible for providing clinical oversight for the delivery of the vaccination programme. This group meets weekly to discuss clinical issues of importance (e.g. any arising safety issues and any actions required) and involves senior PHS, National Services Scotland (NSS) and Scottish Government colleagues.
* Medicines and Healthcare products Regulatory Agency (MHRA). If a signal is detected in these analyses, it may be useful to share with MHRA colleagues to see if they have further intelligence on this.
* Four-nations working group on vaccine safety in pregnancy. The other UK nations are also planning similar analyses and so a discussion on results would be useful.
* EAVE steering group. EAVE-II is a collaborative study involving PHS and Scottish universities to answer important questions on COVID-19 using linked national data.
* DaCVaP steering group. DaCVaP is a collaborative study within the suite of COVID-19 national core studies that is conducting UK wide studies on vaccine safety and effectiveness.
* Global Vaccine Data Network. This is a network of sites that contribute to globally coordinated active surveillance epidemiologic studies of the safety of vaccines, including COVID-19 vaccines. Sharing of information for potential inclusion in meta-analyses to generate global estimates of the risk of AESI following COVID-19 vaccination.

This project adheres to the European Network of Centres for Pharmacoepidemiology and Pharmacovigilance (ENCePP) code of conduct including the requirement for unrestricted freedom of the investigator to publish.

# 7.0 Data Access

The full study dataset cannot be made publicly available due to patient privacy and confidentiality; however, data can be made available to external parties subject to relevant governance permissions from data controllers being secured.  Enquiries regarding data availability should be directed to [phs.edris@phs.scot](mailto:phs.edris@phs.scot).

# 8.0 Protocol Amendments

In this section, we document changes that were made to the protocol after the analysis had started.

|  |  |  |
| --- | --- | --- |
| Revisions to version 1.2: 22nd March 2022 | | |
| **Change** | **Rationale** | **Affected protocol sections** |
| Revised definition of miscarriage and stillbirth. Previously, women were considered at risk of any miscarriage from conception up to 23+6 weeks gestation, and at risk for stillbirth from 24+0 weeks gestation to the end of pregnancy. This has been revised so women are considered at risk of any miscarriage from conception up to 19+6 weeks gestation, and at risk for stillbirth from 20+0 weeks gestation to the end of pregnancy. | The aligns with the definitions used in other countries (e.g. the US) and, when looking at early pregnancy outcomes, prevents the inclusions of pregnancies with live birth outcomes and minimises the number of terminations of pregnancies for anomalies that are included. | 3.5.1 |
| Revised two covariates (BMI and smoking status) so that we will not only rely on data from ANC booking, but also from GP data for women where there is no information from ANC booking. We also revised the categorisation of the diabetes variable. | There will be extensive missing data if we only rely on information from ANC booking status, so will draw on additional GP data. | 3.5.3 |
| Revised matching criteria for selection of unvaccinated women in pandemic period to prioritise matching by maternal age and gestational age, and to state that we will only match on season or week of conception if there are sufficient numbers of unvaccinated women. | Given increasing levels of vaccination in pregnancy, it might be impossible to match on all three criteria so we have prioritised maternal age and gestational age. | 3.6 |

|  |  |  |
| --- | --- | --- |
| Revisions to version 1.3: 27th April 2022 | | |
| **Change** | **Rationale** | **Affected protocol sections** |
| Added in additional sensitivity analysis for any live birth outcomes where there is evidence of an association with vaccination, restricting the analysis to only term births. | Vaccination/infection may change risk of preterm birth which then impacts on the risk of some outcomes among these births. This additional analysis will allow us to assess if the association persists within term births. | 4.2 |

|  |  |  |
| --- | --- | --- |
| Revisions to version 1.4: 12th May 2022 | | |
| **Change** | **Rationale** | **Affected protocol sections** |
| Amended follow-up period for ectopic pregnancy from whole of pregnancy to only up to 20 weeks gestation. | This is a consistent period with the other early pregnancy outcome (miscarriage) and no ectopic pregnancies expected to be identified after 20 weeks gestation. | 3.5.1 |

|  |  |  |
| --- | --- | --- |
| Revisions to version 1.5: 27th May 2022 | | |
| **Change** | **Rationale** | **Affected protocol sections** |
| Revised the statistical analysis for early pregnancy outcomes to exclude include covariates that rely on data from SMR02 delivery records (i.e. BMI, smoking status and diabetes) from the models. | Exploration of these covariates indicated very high levels of missing data for pregnancies that had an early pregnancy outcome. | 4.2 |

|  |  |  |
| --- | --- | --- |
| Revisions to version 1.6: 15th June 2022 | | |
| **Change** | **Rationale** | **Affected protocol sections** |
| Remove secondary outcome for miscarriage | In light of revisions made to version 1.2 of the protocol (changing definition of miscarriage from conception up to 23+6 weeks gestation to from conception up to 19+6 weeks gestation), we do not need to then conduct secondary analyses by trimester for miscarriage. | 4.2 |
| Clarify that sensitivity analysis will only be conducted where appropriate for a given outcome | For the early pregnancy outcomes, the sensitivity analyses are not possible to conduct due to the much shorter exposure periods and uncertainty surrounding exact dates of outcomes. We have clarified in the protocol that these sensitivity analyses will only be conducted where appropriate. | 3.6 |
| Remove supplementary analysis two | As the primary aim of this study is to look at vaccine safety, we have removed the second supplementary analysis that retains women with SARS-CoV-2 infection in the exposure period, as these results are difficult to interpret, and start to look at vaccine effectiveness which will be covered by other analyses within COPS. Our analyses therefore remove women who have SARS-CoV-2 infection in the exposure period. | 3.6 and Appendix 3 |
| Revise exposure period for congenital anomalies from 9+6 weeks gestation to 19+6 weeks gestation | We have amended our exposure period for congenital anomalies to align with recommendations from GAIA, but have noted that we will conduct a sensitivity analysis using the narrower exposure period that was originally proposed (9+6 weeks gestation) if we see any signal for association in our primary analysis. | 4.2 and 3.6 |

|  |  |  |
| --- | --- | --- |
| Revisions to version 1.7: 22nd June 2022 | | |
| **Change** | **Rationale** | **Affected protocol sections** |
| Clarified that we will only conduct subgroup analysis by class of vaccine where there are not sufficient numbers to do this by type of vaccine. |  | 3.5.2 and 4.2 |
| Added that we will conduct subgroup analyses by vaccine type using contemporary controls if we see an association between specific vaccine types and adverse outcomes using historical controls. | We have concerns over residual confounding by clinical vulnerability in the association between specific vaccinations and adverse outcomes that is particularly problematic when using historical controls. | 4.2 |

|  |  |  |
| --- | --- | --- |
| Revisions to version 1.8: 24th August 2022 | | |
| **Change** | **Rationale** | **Affected protocol sections** |
| Specified that we will only use contemporary controls (and not historical controls) if there are known changes in the ascertainment of outcomes over the study period. | It would be impossible to attribute any differences in affected outcomes between vaccinated and unvaccinated historical controls to vaccination as opposed to changes in ascertainment of the outcome over time. | 3.1 and 3.6 and Appendix 3 |
| Clarified that we will only look at Apgar score in terms babies (i.e. babies ≥37 weeks gestation), and will restrict outcomes relying on reference standards to only babies which can be matched to the reference standard. | For Apgar score, restricting to only term babies allows us to look at whether there is any evidence of neonatal compromise not mediated through preterm birth (which is strongly linked to low Apgar score).  For small for gestational age and for microcephaly, data are only available for the subset of babies at the specified gestations where there are reference data available. | 3.5.1 |
| Restrict modelling of all baby and maternal outcomes (except congenital anomalies and microcephaly) to only singleton births/pregnancies (although descriptive information will be produced for both multiple and singleton births). | This will simplify the modelling process (no need to account for clustering of multiple births, alongside the clustering by the matched design) and there is no biologically plausible reason to expect that the association between vaccination and these outcomes would be different for singleton and multiple babies/pregnancies. | 4.2 |
| Added in singleton/multiple birth as a covariate for congenital anomaly analyses. | This may be a confounder, with women with multiple pregnancies potentially more likely to be vaccinated. | 3.5.3 and 4.2 |

# Appendix 1: ICD 10 codes to identify admissions due to pregnancy-related bleeding, maternal venous thromboembolism, and hypertensive disorders of pregnancy

Appendix Table 1: ICD-10 codes for identifying pregnancy-related bleeding

|  |  |
| --- | --- |
| **ICD-10** | **Condition** |
|  | ***Obstetric haemorrhage*** |
| O44.1 | Placenta praevia with haemorrhage |
| O45.0 | Premature separation of placenta with coagulation defect |
| O45.8 | Other premature separation of placenta |
| O45.9 | Premature separation of placenta, unspecified |
| O46.0 | Antepartum haemorrhage with coagulation defect |
| O46.8 | Other antepartum haemorrhage |
| O46.9 | Antepartum haemorrhage, unspecified |
| O67.0 | Intrapartum haemorrhage with coagulation defect |
| O67.8 | Other intrapartum haemorrhage |
| O67.9 | Intrapartum haemorrhage, unspecified |
| O69.4 | Labour and delivery complicated by haemorrhage from vasa praevia |
| O72.0 | Third stage haemorrhage |
| O72.1 | Other immediate postpartum haemorrhage |
| O72.2 | Delayed and secondary postpartum haemorrhage |
| O72.3 | Postpartum coagulation defects |
| O44.1 | Placenta praevia with haemorrhage |
|  | ***Early pregnancy bleeding*** |
| O03.1 | Incomplete spontaneous abortion (miscarriage), complicated by haemorrhage |
| O03.6 | Complete spontaneous abortion (miscarriage), complicated by haemorrhage |
| O04.1 | Incomplete medical abortion (TOP), complicated by haemorrhage |
| O04.6 | Complete medical abortion (TOP), complicated by haemorrhage |
| O05.1 | Incomplete other abortion, complicated by haemorrhage |
| O05.6 | Complete other abortion, complicated by haemorrhage |
| O06.1 | Incomplete unspecified abortion, complicated by haemorrhage |
| O06.6 | Complete unspecified abortion, complicated by haemorrhage |
| O07.1 | Failed medical abortion, complicated by haemorrhage |
| O07.6 | Other and unspecified failed medical abortion, complicated by haemorrhage |
| O08.1 | Delayed or excessive haemorrhage following abortion and ectopic and molar pregnancy |
| O20.0 | Threatened abortion |
| O20.8 | Other haemorrhage in early pregnancy |
| O20.9 | Haemorrhage in early pregnancy, unspecified |
|  | ***Disseminated intravascular coagulation*** |
| D65 | Disseminated intravascular coagulation |
| *Considered but not included* | |
| *O43.2* | *Morbidly adherent placenta* |
| *O69.5* | *Labour and delivery complicated by vascular lesion of cord* |

Appendix Table 2: ICD-10 codes for identifying maternal venous thromboembolism

|  |  |
| --- | --- |
| **ICD-10** | **Condition** |
| I26.0 | Pulmonary embolism with mention of acute cor pulmonale |
| I26.9 | Pulmonary embolism without mention of acute cor pulmonale |
| I80.1 | Phlebitis and thrombophlebitis of femoral vein |
| I80.2 | Phlebitis and thrombophlebitis of other deep vessels of lower extremities |
| I80.3 | Phlebitis and thrombophlebitis of lower extremities, unspecified |
| O08.2 | Embolism following abortion and ectopic and molar pregnancy |
| O22.3 | Deep thrombophlebitis in pregnancy |
| O87.1 | Deep phlebothrombosis in the puerperium |
| O88.2 | Obstetric blood-clot embolism |
| I80.8 | Phlebitis and thrombophlebitis of other sites |
| I80.9 | Phlebitis and thrombophlebitis of unspecified site |
| I81 | Portal vein thrombosis |
| I82.0 | Budd Chiari syndrome |
| I82.1 | Thrombophlebitis migrans |
| I82.2 | Embolism and thrombosis of vena cava |
| I82.3 | Embolism and thrombosis of renal vein |
| I82.8 | Embolism and thrombosis of other specified veins |
| I82.9 | Embolism and thrombosis of unspecified vein |
| O22.9 | Venous complication in pregnancy, unspecified |
| O87.9 | Venous complication in the puerperium, unspecified |
| G08 | Intracranial and intraspinal phlebitis and thrombophlebitis |
| I63.6 | Cerebral infarction due to cerebral venous thrombosis, nonpyogenic |
| I67.6 | Nonpyogenic thrombosis of intracranial venous system |
| O22.5 | Cerebral venous thrombosis in pregnancy |
| O87.3 | Cerebral venous thrombosis in the puerperium |
| *Considered but not included* | |
| *G95.1* | *Vascular myelopathies* |
| *H34.8* | *Other retinal vascular occlusions* |
| *I51.3* | *Intracardiac thrombosis, not elsewhere classified* |
| *I80.0* | *Phlebitis and thrombophlebitis of superficial vessels of lower extremities* |
| *K55.0* | *Acute vascular disorders of intestine* |
| *K64.5* | *Perianal venous thrombosis* |
| *K75.1* | *Phlebitis of portal vein* |
| *O22.2* | *Superficial thrombophlebitis in pregnancy* |
| *O22.8* | *Other venous complications in pregnancy* |
| *O69.5* | *Labour and delivery complicated by vascular lesion of cord* |
| *O87.0* | *Superficial thrombophlebitis in the puerperium* |
| *O87.8* | *Other venous complications in the puerperium* |
| *T80.1* | *Vascular complications following infusion, transfusion and therapeutic injection* |

Appendix Table 3: ICD-10 codes for identifying hypertensive disorders of pregnancy

|  |  |
| --- | --- |
| **ICD-10** | **Condition** |
| O11 | Pre-eclampsia superimposed on chronic hypertension |
| O13 | Gestational [pregnancy-induced] hypertension |
| O14.0 | Mild to moderate pre-eclampsia |
| O14.1 | Severe pre-eclampsia |
| O14.2 | HELLP syndrome |
| O14.9 | Pre-eclampsia, unspecified |
| O15.0 | Eclampsia in pregnancy |
| O15.1 | Eclampsia in labour |
| O15.2 | Eclampsia in the puerperium |
| O15.9 | Eclampsia, unspecified as to time period |
|  |  |
| *Considered but not included for this category* | |
| *O10* | *Pre-existing hypertension complicating pregnancy, childbirth and the puerperium* |
| *O12* | *Gestational [pregnancy-induced] oedema and proteinuria without hypertension* |
| *O16* | *Unspecified maternal hypertension* |

SMR01 and SMR02 records with a relevant date of admission and any relevant ICD10 code recorded against main condition or any other condition will be used to identify admissions for the above 3 conditions. All SMR02 record types will be included (i.e. antenatal, delivery, and postpartum admissions).

# Appendix 2: Rationale for selection of pregnancy-related outcome measures to be used in COPS study to assess impact of COVID-19 infection and safety of COVID-19 vaccination

A list of outcomes to be examined post-infection was drawn up in May 2020 for the initial COPS protocol document, based on what was known about COVID-19 at that point. A provisional list of outcomes to be examined post-vaccination (as part of vaccine safety monitoring) was drawn up in April 2021, based on a review of the following sources conducted up to 12 Feb 2021.

* MHRA public assessment record for the Pfizer vaccine (released at the time the MHRA first gave approval for use on 2 Dec 2020) - <https://www.gov.uk/government/publications/regulatory-approval-of-pfizer-biontech-vaccine-for-covid-19>
* MHRA public assessment record for the Oxford vaccine (released at the time the MHRA first gave approval for use on 30 Dec 2020) - <https://www.gov.uk/government/publications/regulatory-approval-of-covid-19-vaccine-astrazeneca>. No public assessment records for other vaccine types available at the time of review
* Data from the MHRA on possible adverse events reported through the Yellow Card scheme up to 31 Jan 2021. <https://www.gov.uk/government/publications/coronavirus-covid-19-vaccine-adverse-reactions>
* MHRA’s strategy for post marketing surveillance of the safety of COVID vaccines - <https://www.gov.uk/government/publications/report-of-the-commission-on-human-medicines-expert-working-group-on-covid-19-vaccine-safety-surveillance>
* PHE’s strategy for post implementation surveillance of COVID vaccine uptake, safety, and effectiveness - <https://www.gov.uk/government/publications/covid-19-vaccine-surveillance-strategy>
* PHE’s plans for monitoring of outcomes following inadvertent COVID-19 vaccination in pregnancy through the VIP programme - <https://www.gov.uk/guidance/vaccination-in-pregnancy-vip>
* The Biologics Effectiveness and Safety (BEST) Initiative's (part of the FDA's Center for Biologics Evaluation and Research) strategy for post implementation surveillance of COVID vaccine safety

<https://www.bestinitiative.org/vaccines-and-allergenics>

* Information from the Safety Platform for Emergency vACcines (SPEAC) collaboration (collaboration between the Coalition for Epidemic Preparedness Innovations (CEPI) and the Brighton Collaboration) on AESIs following COVID-19 vaccination. Information on pregnancy specific AESIs not available at the time of review - <https://brightoncollaboration.us/covid-19/>
* COVAX. No published information from the Maternal Immunization Working Group available at the time of review. <https://www.who.int/initiatives/act-accelerator/covax>
* ICBDSR. No published information on COVID-19 vaccination and anomaly risk available at the time of review. <http://www.icbdsr.org/>
* EUROCAT. No published information on COVID-19 vaccination and anomaly risk available at the time of review. <https://eu-rd-platform.jrc.ec.europa.eu/eurocat_en>
* The UKtis/BUMPS information sheet on COVID vaccination in pregnancy. [https://www.medicinesinpregnancy.org/Medicine--pregnancy/COVID-19-Vaccine/#](https://www.medicinesinpregnancy.org/Medicine--pregnancy/COVID-19-Vaccine/)
* Rapid literature scan for papers on COVID-19 and associated therapies and anomalies
  + https://science-sciencemag-org.ezproxy.is.ed.ac.uk/content/369/6504/607
  + http://jogh.org/documents/issue202002/jogh-10-020378.pdf
  + https://onlinelibrary-wiley-com.ezproxy.is.ed.ac.uk/doi/full/10.1002/bdr2.1710
* In July 2021, the two provisional lists were harmonised to provide one set of outcomes to be examined post infection and vaccination, taking into account the following additional information.
* Feedback from the MHRA 4 nation group on monitoring of safety of COVID-19 vaccination in pregnancy.
* Emerging evidence on the risk of thrombocytopenia and associated thromboembolism and haemorrhage risk following COVID-19 vaccination - COVID-19 | British Society for Haematology (b-s-h.org.uk)
* A report from the ConcePTION project on best practice in in utero pharmacovigilance studies <https://www.imi-conception.eu/wp-content/uploads/2021/03/ConcePTION-D1.2.pdf>
* A draft module on Safety surveillance of COVID-19 vaccines in pregnant and breastfeeding women from the WHO's COVID-19 vaccines: safety surveillance manual - <https://www.who.int/publications/m/item/safety-surveillance-of-covid-19-vaccines-in-pregnant-and-breastfeeding-women>; <https://apps.who.int/iris/handle/10665/338400>
* The EU’s ACCESS (vACcine Covid-19 monitoring ReadinESS) project which drew up a list of suggested outcomes for vaccine safety monitoring, based on ‘events that are or are potentially related to marketed vaccines, events related to vaccine platforms or adjuvants, and events that may be associated with COVID-19'. <https://vac4eu.org/covid-19-vaccine-monitoring/>; <http://www.encepp.eu/encepp/openAttachment/fullProtocol/37296;jsessionid=gcYfr6HBMTY-QycjtWODhMHJ6uQ-2THoP7zw5hnKDHaTwKWarjE6!456884888>
* Outcome definitions from the Brighton Collaboration and the Global Alignment of Immunization Safety Assessment in Pregnancy (GAIA) programme - <https://brightoncollaboration.us/>; <https://brightoncollaboration.us/gaia/>
* Outcome definitions included in relevant RCOG or NICE guidance - <https://www.rcog.org.uk/en/guidelines-research-services/guidelines/>; <https://www.nice.org.uk/guidance/conditions-and-diseases/fertility--pregnancy-and-childbirth>
* Early observational evidence from CDC on vaccine safety - <https://www.nejm.org/doi/10.1056/NEJMoa2104983>
* The C-VIPER planned international cohort study of vaccine safety - <https://corona.pregistry.com/cviper>; <http://www.encepp.eu/encepp/viewResource.htm?id=41101>
* Recent papers on the impact of COVID-19 infection on pregnancy-related outcomes, in particular UKOSS study on the characteristics and outcomes of pregnant women admitted to hospital in the UK with confirmed SARS-CoV-2 infection - <https://www.bmj.com/content/369/bmj.m2107>
* Study on the outcomes of women in England with and without confirmed COVID-19 at the time of delivery - <https://www.ajog.org/article/S0002-9378(21)00565-2/fulltext>
* The INTERCOVID international cohort study of pregnant women with confirmed COVID and non-infected controls - <https://jamanetwork.com/journals/jamapediatrics/fullarticle/2779182>
* The living systematic reviews on COVID in pregnancy maintained by the University of Birmingham - <https://www.birmingham.ac.uk/research/who-collaborating-centre/pregcov/index.aspx>

# Appendix 3: Measuring the impact of infection on adverse pregnancy, maternal and neonatal outcomes

Noted below are amendments required to the study design to examine the impact of COVID-19 infection (rather than vaccination) on adverse pregnancy, maternal and neonatal outcomes.

|  |  |
| --- | --- |
| **Study population** | Same study population as for vaccination exposure (described in Section 3.2). |
| **Study period** | This matched cohort study will cover the time period 01 January 2015 to the date of data extraction for the analyses, with the exclusion of the time period when testing for COVID-19 infection was not widespread:   * Pre-pandemic period (01 January 2015 to 29 February 2020) * Pandemic pre-widespread testing period (from 01 March 2020 to 17 May 2020; not included in the analyses on impact of infection on outcomes) * Pandemic widespread testing period (from 18 May 2020 to date of data extraction) |
| **Exposure** | The primary exposure to be investigated in the analyses is ***any*** confirmed COVID-19 infection from six weeks preconception to the end of an outcome-specific exposure period within pregnancy (Yes/No). Confirmed cases of SARS-CoV-2 are defined in line with national guidance on testing. Up to and including the 05 January 2022, confirmed cases are identified by a positive SARS-CoV-2 viral PCR test result. From the 06 January 2022 onwards, confirmed cases are identified by a positive SARS-CoV-2 viral PCR test result or a positive lateral flow device (LFD) test result (unless the positive LFD result was followed by a negative viral PCR result within 48 hours). The date of onset of COVID-19 is defined as the date the woman’s first positive sample was taken. Subsequent positive test results within 90 days of an index positive result are discounted. Any positive test taken >90days following the first positive sample is taken as indicating a second infection, and so on. |
| **Outcomes** | The timing of COVID-19 infection for each outcome (i.e. the outcome specific exposure period) is the same as is included for vaccination (see Table 2).  So, for example, for the outcome of miscarriage, we will include women in the COVID-19 infection group if they have a confirmed COVID-19 diagnosis between six weeks preconception and 19+6 weeks of gestation. |
| **Covariates** | Same covariates as for vaccination exposure (described in Section 4). |
| **Matching** | There will be two main analyses for all outcomes, each with a different set of inclusion criteria for the matching process, as follows:  **Match 1 (primary):**  *Exposed:* women who had COVID-19 infection during the pandemic widespread testing period from six weeks preconception or in pregnancy but before the end of the outcome-specific exposure period, excluding any women who received vaccination for COVID-19 from six weeks preconception to the end of the outcome-specific follow-up period.  *Unexposed group:* women from the pre-COVID-19 time period who had been followed-up to the end of the outcome-specific follow-up period by the 29th February 2020, matched to exposed women on maternal age, gestational age and season of conception.  **Match 2:**  *Exposed:* women who had COVID-19 infection during the pandemic widespread testing period from six weeks preconception up to the end of the outcome-specific exposure period, excluding any women who received vaccination for COVID-19 from six weeks preconception up to the end of the outcome-specific follow-up period.  *Unexposed group:* women from the pandemic widespread testing period who did not have confirmed COVID-19 infection from six weeks preconception up to the end of outcome-specific exposure period and did not receive vaccination for COVID-19 from six weeks preconception to the end of the outcome-specific follow-up period, matched to exposed women by maternal age and gestational age at date of infection.  Note that, as with the vaccination analyses, we will not use historical controls if there are known changes in the ascertainment of an outcome over the study period (i.e. 2015-2022). |
| **Analytical approach** | Same analytical approach as for vaccination exposure (described in Section 4). |

1. Stock SJ, McAllister D, Vasileiou E*, et al.* COVID-19 in Pregnancy in Scotland (COPS): protocol for an observational study using linked Scottish national data. *BMJ Open*2020;**10:**e042813. doi: 10.1136/bmjopen-2020-042813. Available from:

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2. Stock SJ, McAllister D, Vasileiou E*, et al.* COVID-19 in Pregnancy in Scotland (COPS): protocol for an observational study using linked Scottish national data. *BMJ Open*2020;**10:**e042813. doi: 10.1136/bmjopen-2020-042813. Available from:

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3. <https://www.who.int/publications/m/item/safety-surveillance-of-covid-19-vaccines-in-pregnant-and-breastfeeding-women> [↑](#footnote-ref-3)
4. Pavord, S et al (2021). Clinical Features of Vaccine-Induced Immune Thrombocytopenia and Thrombosis. NEJM. DOI: 10.1056/NEJMoa2109908 [↑](#footnote-ref-4)
5. Donegan K, King B, Bryan P. Safety of pertussis vaccination in pregnant women in UK: observational study BMJ 2014; 349:g4219 doi:10.1136/bmj.g4219. Available from: <https://www.bmj.comcontent/349/bmj.g4219> [↑](#footnote-ref-5)
6. Personal communication with R. Wood, July 2021. [↑](#footnote-ref-6)